



Hong Kong Investment Funds Association

10<sup>th</sup> Annual Conference

Tuesday, December 13<sup>th</sup>, 2016

Grand Ballroom, JW Marriott Hotel Hong Kong

# Hong Kong - Building A Premier Fund Hub



## Sponsors



## Endorsers



Enquiries:  
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View the event website  
on your smartphone  
by scanning this QR code.



## 10th Annual Conference

You are cordially invited to enroll into the 10th HKIFA annual conference which will be held on December 13th, 2016 (Tuesday) at the JW Marriott Hotel, Hong Kong.

With the theme “Hong Kong – building a premier fund hub”, this year's conference will look at the forces of change that will potentially shape the fund management industry and identify the critical success factors that foster it to propel to the next stage. It is particularly pertinent as the markets have gone through a tumultuous period in the last 12-18 months and there are certain major structural changes that will have major ramifications to the global investment scene in the years ahead. Against this backdrop, thought leaders from the authorities and the industry will have deep-dive discussions on evolutions in the business model, topical regulatory issues – ranging from macro prudential issues, such as liquidity risk management and corporate governance standards; to micro issues such as advice/suitability to alternative distribution platforms.

### Subjects that will be covered include:

- Global financial stability and the role of the fund management industry
- How would the Hong Kong industry look like in 2020
- A raft of regulatory changes have been introduced since the GFC - How do investors see these developments and what's their experience? What is the approach that can best serve the interests of investors? We will share the findings of an investor survey which can provide insights and perspective to the discussion
- Regulatory agenda for 2017
- Fiduciary duties of fund managers as investors
- Alternative distribution platforms
- Mainland fund market in the next 3-5 years

In addition to providing a platform for networking, the event aims to provide a forum for market participants, policy makers and regulators to have in-depth discussions about issues that are pertinent to the industry, and the way forward so as to enable the industry not only to navigate this challenging time, but to take it to the next stage.

We sincerely look forward to having your participation in this event.

### WHO SHOULD ATTEND

- |   |   |
|---|---|
| <ul style="list-style-type: none"> <li>• Asset Allocators</li> <li>• CEOs, CFOs, CIOs</li> <li>• Compliance Officers</li> <li>• Custodians &amp; Administrators</li> <li>• Distributors</li> <li>• Family Offices/Endowments/Foundations</li> <li>• Finance Directors</li> <li>• Financial Planners</li> <li>• Fund Managers</li> </ul> | <ul style="list-style-type: none"> <li>• Institutional Sales</li> <li>• Investment Advisors</li> <li>• Investment Consultants</li> <li>• Legal Practitioners</li> <li>• Private Bankers</li> <li>• Product Managers</li> <li>• Regulators</li> <li>• Trustees</li> <li>• Wealth Managers</li> </ul> |
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### Registration Fee

Members of HKIFA Members of Endorsing Organizations Staff of other Fund Associations	HK\$3,900 per person*
Standard Fee	HK\$5,400 per person*

\*The fee includes conference cost, lunch, refreshments and conference documentations.  
 \* 10% discount will be offered for 10 or more enrollees from the same firm.

### Registration Methods

 <b>Mail</b>	or	 <b>Fax</b>	or	 <b>Email</b>	or	 <b>Online</b>
17/F, Chung Hing Comm Bldg 62-63 Connaught Road Central Hong Kong		(852) 3905-7075		hkifa@plus-concepts.com		www.plus-concepts.com/hkifa2016
<b>Enquiries:</b>  (852) 8203-6091 or email to <a href="mailto:hkifa@plus-concepts.com">hkifa@plus-concepts.com</a>						

### Registration Form

HKIFA member

Member of the following endorsing organization:

\_\_\_\_\_

Staff of other fund associations

None of the above

Mr.    Ms.    Mrs.    Dr.

(Please Use **BLOCK LETTERS**)

Name: \_\_\_\_\_

Email: \_\_\_\_\_

Job Title: \_\_\_\_\_

Department: \_\_\_\_\_

Contact Person and Email: \_\_\_\_\_  
 (if different from above)

Company/Organization: \_\_\_\_\_

Address: \_\_\_\_\_  
 \_\_\_\_\_

Nature of Business: \_\_\_\_\_

Tel:(        ) \_\_\_\_\_ Fax:(        ) \_\_\_\_\_

Mobile: \_\_\_\_\_

Signature \_\_\_\_\_ Date \_\_\_\_\_

**Privacy:** Personal data are gathered in accordance with the Personal Data (Privacy) Ordinance. The personal data collected may be used for statistical purposes, and for marketing of HKIFA's own events/activities. Also, the delegate list (name, title & company name only and without contact details) will be made available to the event sponsors. Please be rest assured that HKIFA/Plus Concepts will not provide your contact details to any third parties. HKIFA/Plus Concepts will cease to use the personal data for any purposes if you request us to do so and accordingly the personal data will be erased and/or destroyed.

Please check this box if you do NOT want your personal data to be used by HKIFA for marketing of its own events/activities.

Please check this box if you do NOT wish to have your information released to the sponsors.

### Event Organizer

This event is organized by the Hong Kong Investment Funds Association ("HKIFA"). Plus Concepts has been appointed as the event manager of this Conference to collect payments and handle registrations. For more information, please contact Plus Concepts at (852) 8203-6091.

### Accreditations

Securities & Futures Commission Hong Kong	6.5 CPT Hours*
Mandatory Provident Fund Schemes Authority	6.5 Non Core CPD Hours
The Law Society of Hong Kong	6.5 CPD Points

\*Subject to individual company's compliance approval. Delegates will be required to keep all such documentary evidence to support your attendance such as certificates of attendance. The SFC may request you to produce such documentary evidence as and when required.

For statistical purpose (please tick where appropriate):

- CPD hrs - MPFA (non-Core)  
 CPT hrs - SFC  
 CPD points - Law Society of Hong Kong

### Payment Methods

Registration is confirmed only upon receipt of full payment. Upon receipt of full payment, Plus Concepts will issue an email confirmation and receipt. Payment can be made by

(1) **Cheque:** Hong Kong bank cheque payable to **Plus Concepts**  
 Please include the participant's name on the back of the cheque, and mail it to:

Plus Concepts  
 17/F, Chung Hing Commercial Building  
 62-63 Connaught Road Central  
 Hong Kong

(2) **By Bank Transfer into Plus Concepts account**

Name of Beneficiary: Plus Concepts  
 Address: 17/F, Chung Hing Comm Bldg, 62-63 Connaught Road Central, HK  
 Beneficiary Bank: HSBC (Address: 1 Queen's Road Central, Hong Kong)  
 Bank Code: 004  
 Account No.: 400 588083 838  
 SWIFT Code: HSBC HK HHH KH

Remarks: -Participant's name must be input on the bank transfer form as a reference  
 -All the local and overseas bank charges should be paid by the participant

(3) **Credit Card Payment:** Only available through online registration on website [www.plus-concepts.com/hkifa2016](http://www.plus-concepts.com/hkifa2016)

### Other Information

**Venue:** Grand Ballroom, JW Marriott Hotel Hong Kong. (Address: One Pacific Place, 88 Queensway, Hong Kong SAR)

**Substitutions:** You may make substitution at any time before the conference. Please notify us as soon as possible. **Conference fees will not be refunded.**

**Rescheduling:** The conference will be cancelled if No. 8 typhoon signal or black rainstorm warning is hoisted on the event day. In the event of cancellation due to bad weather, the conference will be rescheduled. Conference fees will not be refunded.

**Accommodation, Travel and Other Incidental Expenses:** We are NOT responsible for covering accommodation, airfare, other travel or incidental costs incurred by registrations.

**Bank Charges and Expenses:** Any bank charges and/or expenses incurred as a result of bounced cheques and/or wire transfer will be borne by the participants.

**Disclaimer:** We reserve the right to alter the contents of this conference and/or the identity of the conference presenters due to circumstances beyond our control.

**Incorrect Mailing Information:** It is possible that you may receive multiple emails/mailings of this event, for which we apologise. If this happens, please let us know so that we can update our database immediately and we hope you will pass the duplicated copies on to your colleagues. If you do not wish to have your name on our mailing list, please let us know and we will remove it from our database accordingly.

**Passport & Visa:** A visitor must, upon arrival in Hong Kong, present a valid travel document and visa (if a visa is required). For details, please visit the HKSAR government website: [www.immd.gov.hk/eng/services/visas/visit-transit/visit-visa-entry-permit.html](http://www.immd.gov.hk/eng/services/visas/visit-transit/visit-visa-entry-permit.html). You may require a letter from the event host, detailing the nature and duration of your proposed stay in Hong Kong with your visa application. The letter is available on request from [hkifa@plus-concepts.com](mailto:hkifa@plus-concepts.com).

### Hong Kong Investment Funds Association

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